

05/11/01

U.S. PTO

Express Mail Label No. EL852659

05-14-01

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# UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
TRO4-B057

Total Pages in this Submission

## TO THE ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application  
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

**TONER CARTRIDGE IDENTIFICATION SYSTEM AND METHOD**

and invented by:

Gregg S. Kussman  
Ronald V. Surya  
Cheston Sung Yin Chan

J1046 U.S. PTO  
09/853269

05/11/01

If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Which is a:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Which is a:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Enclosed are:

### Application Elements

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 11 pages and including the following:
  - a. ☒ Descriptive Title of the Invention
  - b. ☐ Cross References to Related Applications (if applicable)
  - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
  - d. ☐ Reference to Microfiche Appendix (if applicable)
  - e. ☒ Background of the Invention
  - f. ☒ Brief Summary of the Invention
  - g. ☒ Brief Description of the Drawings (if drawings filed)
  - h. ☒ Detailed Description
  - i. ☒ Claim(s) as Classified Below
  - j. ☒ Abstract of the Disclosure

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Small Entity)**

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

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**Application Elements (Continued)**

3. ☒ Drawing(s) *(when necessary as prescribed by 35 USC 113)*  
a. ☐ Formal      b. ☒ Informal      Number of Sheets 2 (Two Sheets)
4. ☒ Oath or Declaration  
a. ☐ Newly executed *(original or copy)*      ☒ Unexecuted  
b. ☐ Copy from a prior application (37 CFR 1.63(d)) *(for continuation/divisional application only)*  
c. ☒ With Power of Attorney      ☐ Without Power of Attorney  
d. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application,  
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference *(usable if Box 4b is checked)*  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied  
under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby  
incorporated by reference therein.
6. ☐ Computer Program in Microfiche
7. ☐ Genetic Sequence Submission *(if applicable, all must be included)*  
a. ☐ Paper Copy  
b. ☐ Computer Readable Copy  
c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

**Accompanying Application Parts**

8. ☒ Assignment Papers *(cover sheet & documents)*
9. ☐ 37 CFR 3.73(b) Statement *(when there is an assignee)*
10. ☐ English Translation Document *(if applicable)*
11. ☐ Information Disclosure Statement/PTO-1449      ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Acknowledgment postcard
14. ☐ Certificate of Mailing  
☐ First Class      ☒ Express Mail *(Specify Label No.):* EL852659016US

**UTILITY PATENT APPLICATION TRANSMITTAL  
(Small Entity)**

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

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**Accompanying Application Parts (Continued)**

15. ☐ Certified Copy of Priority Document(s) *(if foreign priority is claimed)*
16. ☐ Small Entity Statement(s) - Specify Number of Statements Submitted: \_\_\_\_\_
17. ☐ Additional Enclosures *(please identify below)*:

**Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)**

18. ☐ Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.

**Warning**

***An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional.***

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Small Entity)**

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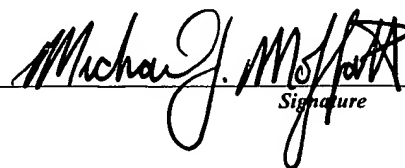
**Fee Calculation and Transmittal**

**CLAIMS AS FILED**

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	11	- 20 =	0	x \$9.00	\$0.00
Indep. Claims	4	- 3 =	1	x \$40.00	\$40.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$355.00
OTHER FEE (specify purpose) Assignment Recordation					\$40.00
TOTAL FILING FEE					\$435.00

- ☒ A check in the amount of \$435.00 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 16-2462 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of as filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Dated: May 11, 2001

  
Signature

Michael J. Moffatt  
Registration No. 39,304  
PRICE AND GESS  
2100 S.E. Main Street  
Irvine, California 92614  
Tel. No.: 949 261-8433

cc:

JOSEPH W. PRICE  
ALBIN H. GESS  
MICHAEL J. MOFFATT  
GORDON E. GRAY III  
BRADLEY D. BLANCHE  
J. RONALD RICHEBOURG

OF COUNSEL  
JAMES F. KIRK

e-mail: [pg@pgpatentlaw.com](mailto:pg@pgpatentlaw.com)

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**DATE OF DEPOSIT: May 11, 2001**

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JOSEPH W. PRICE  
ALBIN H. GESS  
MICHAEL J. MOFFATT  
GORDON E. GRAY III  
BRADLEY D. BLANCHE  
J. RONALD RICHBURG

OF COUNSEL  
JAMES F. KIRK

# *PRICE AND GESS*

ATTORNEYS AT LAW

2100 S.E. MAIN STREET, SUITE 250

IRVINE, CALIFORNIA 92614-6238

A PROFESSIONAL CORPORATION  
TELEPHONE: (949) 261-8433  
FACSIMILE: (949) 261-9072  
FACSIMILE: (949) 261-1726

e-mail: pg@pgpatentlaw.com

## **DRAWINGS ( 2 SHEETS)**

Inventor(s): Ron V. Surya et al.

Title: TONER CARTRIDGE IDENTIFICATION SYSTEM AND  
METHOD

Attorney's  
Docket No.: TRO4-BO57

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JOSEPH W. PRICE  
ALBIN H. GESS  
MICHAEL J. MOFFATT  
GORDON E. GRAY III  
BRADLEY D. BLANCHE  
J. RONALD RICHEBOURG

OF COUNSEL  
JAMES F. KIRK

# PRICE AND GESS

ATTORNEYS AT LAW

2100 S.E. MAIN STREET, SUITE 250

IRVINE, CALIFORNIA 92614-6238

A PROFESSIONAL CORPORATION  
TELEPHONE: (949) 261-8433  
FACSIMILE: (949) 261-9072  
FACSIMILE: (949) 261-1726

e-mail: pg@pgpatentlaw.com

## SPECIFICATION, CLAIMS AND ABSTRACT

Inventor(s): Ron V. Surya et al.

Title: TONER CARTRIDGE IDENTIFICATION SYSTEM AND  
METHOD <sup>FOR A</sup> ~~AND~~ <sup>PRINTER</sup>

Attorney's  
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